### Adaptive Similarity Measures for Material Identification in Hyperspectral Imagery

#### Ph.D. Defense

Brian D. Bue (bbue@rice.edu) Department of Electrical and Computer Engineering

Committee: Prof. Erzsébet Merényi (chair), Statistics/ECE Prof. Chris Jermaine, CS Prof. Devika Subramanian, CS/ECE Dr. Kiri Wagstaff, Jet Propulsion Laboratory





This work involves research and development carried out at the Jet Propulsion Laboratory, and for the AMMOS MultiMission Operations System and MGSS Instrument Operations Subsystem. AMMOS and U.S. Government Support Acknowledged.

Tuesday, April 16, 2013

### **Remote Sensing Imagery from satellite and airborne sensors**

"The vast majority of our current knowledge on the geology of solar system objects has been derived from remote sensing measurements." [Bell et al. 2001]



Figure: Univ. Valencia, IPL

Identifying materials from imagery crucial to all of these applications

### **Hyperspectral Imagery**

Captured in hundreds of bands in the visible & near-infrared portion of the electromagnetic spectrum

Pixels ("*spectra*") capture detailed material characteristics

Each image contains millions of **high-dimensional** pixels

Modern missions produce Terabyte-sized datasets

Automated methods necessary to summarize material content and flag interesting observations



### **Thesis Objective and Contributions**

#### Objective: develop adaptive, task-specific similarity

measures for automatic material identification in remotelysensed hyperspectral imagery

#### **Contributions:**

- Material identification with library-based spectral matching
- Intra-domain material identification
  - Hybrid-LDA: method to combine several similarity measures
  - Evaluation of Mahalanobis metric learning techniques
- Inter-domain material identification
  - New framework for supervised/unsupervised domain adaptation
  - Comparisons to multitask learning/manifold alignment methods
- Demonstrated results in practical, real-world classification settings

### **Material Identification as Multiclass Classification**



### **Intra-domain Material Identification**

Source and target spectra captured under identical conditions  $\Rightarrow \mathcal{D}^S = \mathcal{D}^T$ 

good source classifier ⇒ good target predictions



### Material Identification Fundamentals Absorption Features and Continua



### Library-based Spectral Matching CI vs. CR Distances



Blue line=target signature, dashed lines=best matchng source signatures

### Spectral Representation Continuum-Intact vs. Continuum Removed

Solution: measure CI shape and CR absorption features





### Hybrid-Linear Discriminant Analysis (LDA) Example: Adaptive CICR

**Goal:** Learn scalar weight parameter  $\alpha$  from labeled data  $d_{\text{CICR}}(\mathbf{x}_i, \mathbf{x}_j, \alpha) = (1 - \alpha) d_{\text{CI}}(\mathbf{x}_i, \mathbf{x}_j) + \alpha d_{\text{CR}}(\mathbf{x}_i, \mathbf{x}_j)$ CI spectra **LDA objective:** calculate Preprocessing projection matrix W\* maximizing Continuum removal **Between-class separation** CR spectra  $rac{|\mathbf{W}^T \mathbf{\hat{M}}_B \mathbf{W}|}{|\mathbf{W}^T \mathbf{M}_W \mathbf{W}|} \bigg\}$  $\mathbf{W}^* = \operatorname{argmax} \left\{ \right.$ L<sup>2</sup> normalization L<sup>2</sup> normalization Normed CI Normed CR spectra spectra Within-class scatter LDA Measure  $d_{CL}$ ,  $d_{CR}$ [Fisher, 1936] between-/within-class separation Solution:  $[1-\alpha, \alpha] = \mathbf{w}/||\mathbf{w}||_{1}$ Select  $\mathbf{w} = [\mathbf{w}_{CL}, \mathbf{w}_{CR}]$ w = largest eigenvectormaximizing LDA objective of  $\mathbf{M}_{W}^{-1}\mathbf{M}_{B}$  $[1-\alpha,\alpha] = \mathbf{w} / ||\mathbf{w}||_1$ 

### Hybrid-LDA Within and Between Class Separation

For distance measures  $d_1(\mathbf{x}_i, \mathbf{x}_j)$  and  $d_2(\mathbf{x}_i, \mathbf{x}_j)$ :

$$[\mathbf{M}_W]_{1,2} = [\mathbf{M}_W]_{2,1} = \frac{1}{N} \sum_{j=1}^K \sum_{i:y_i=j} d_1(\mathbf{x}_i, \boldsymbol{\mu}_j) d_2(\mathbf{x}_i, \boldsymbol{\mu}_j)$$
$$\Rightarrow d_1 \text{ vs. } d_2 \text{ compactness}$$

$$\begin{split} [\mathbf{M}_B]_{1,2} &= [\mathbf{M}_B]_{2,1} = \frac{1}{N} \sum_{j=1}^{K} N_j d_1(\boldsymbol{\mu}_j, \overline{\boldsymbol{\mu}}) d_2(\boldsymbol{\mu}_j, \overline{\boldsymbol{\mu}}) \\ &\Rightarrow d_1 \text{ VS. } d_2 \text{ separability} \end{split}$$

K = # classes, N = # samples,  $N_j = \#$  samples in  $j^{th}$  class,  $\mu_j = j^{th}$  class mean,  $\overline{\mu} = \text{mean}(\mu_j)$ 

### **Evaluation on Ocean City AVIRIS Image** Minor/Major/Combined Absorption Scenarios



**Minor** Absorption Scenario:

- 7 classes of flat spectra with few absorptions
- CR representation uninformative  $(\alpha \rightarrow 0)$

#### Major Absorption Scenario:

- 7 classes with several deep absorptions
- CR representation discriminative (α→1)

#### **Combined** Scenario:

- All Major+Minor classes
- $\alpha_{Minor} \leq \alpha_{Combined} \leq \alpha_{Major}$

# Evaluation on Ocean City AVIRIS Image LDA vs. Line Search $\alpha$



### **Adaptive Sobolev Metric**

Spectral signatures are **functional** data:  $REFLECTANCE_b(\lambda)$ 

(b: band,  $\lambda$ : wavelength) High correlation between 1.0 adjacent spectral bands Exploit functional . 8 REFLECTANCE characteristics to improve . 6 classification accuracy **Approach**: classify using Sobolev metric with Hybrid-LDA weights  $\alpha_1$ . Ø  $\lambda_i \lambda_i$ . 5 1.0 1.5 2.Ø  $\mathbf{\Lambda}_k$ WAVELENGTH (Um)  $d_{\text{Sobolev}}(\mathbf{x}_i, \mathbf{x}_j) = \sum \gamma_l \alpha_l d^{(l)}(\mathbf{x}_i, \mathbf{x}_j)$ Figure modified after: [Clark 90] l=0•  $d^{(l)}(\mathbf{x}_i, \mathbf{x}_j) = \|\mathbf{x}_i^{(l)} - \mathbf{x}_i^{(l)}\|, \ \mathbf{x}_i^{(l)} = l^{\text{th}} \text{ derivative of } \mathbf{x}_i$ •  $\gamma_l = 1/\mathrm{stddev}(\mathrm{d}^{(l)})$ 

### Adaptive Sobolev Metric Accuracy vs. Per-derivate Correlation



### Feature-weighted (Mahalanobis) Metric Learning

**Goal:** Learn task-specific Mahalanobis metric  

$$d_{\mathbf{M}}(\mathbf{x}_{i}, \mathbf{x}_{j}) = (\mathbf{x}_{i} - \mathbf{x}_{j})^{T} \mathbf{M} (\mathbf{x}_{i} - \mathbf{x}_{j})$$

$$= d_{\mathbf{Euc}} (\mathbf{A}^{T} \mathbf{x}_{i}, \mathbf{A}^{T} \mathbf{x}_{j}),$$

$$\mathbf{M} = \mathbf{A}\mathbf{A}^{T} = n \times n \text{ positive semidefinite matrix}$$

$$\mathbf{A} = n \times r \text{ matrix}$$



### Feature-weighted Metric Learning Multiclass LDA for Mahalanobis Metric Learning

Feature-weighted, multiclass LDA

 $\boldsymbol{V}$ 

$$\mathbf{M} = \mathbf{A}\mathbf{A}^{T}, \ \mathbf{A} = \operatorname{argmax}_{\mathbf{W}} \left\{ \frac{|\mathbf{W}^{T}\mathbf{M}_{B}\mathbf{W}|}{|\mathbf{W}^{T}\mathbf{M}_{W}\mathbf{W}|} \right\}$$

where

$$\begin{split} \mathbf{M}_B &= \frac{1}{K} \sum_{j=1}^{K} (\boldsymbol{\mu}_j - \boldsymbol{\mu}) (\boldsymbol{\mu}_j - \boldsymbol{\mu})^T \text{ (between-class separation)} \\ \mathbf{M}_W &= \frac{1}{NK} \sum_{j=1}^{K} \sum_{i:y_i=j} (\mathbf{x}_i - \boldsymbol{\mu}_j) (\mathbf{x}_i - \boldsymbol{\mu}_j)^T \text{ (within-class scatter)} \end{split}$$

Regularization:

$$\mathbf{M}_{W}^{*} = (1-\gamma)\mathbf{I} + \gamma \mathbf{M}_{W,} \gamma \in [0,1], \mathbf{I} = \text{identity matrix}$$

 $\mathbf{A} = \operatorname{top} K-1 \operatorname{eigenvectors} \operatorname{of} (\mathbf{M}_{W}^{*})^{-1} \mathbf{M}_{B}$ 

### **Feature-weighted Metric Learning** So...why LDA?

- Closed form solution = FAST
- Performs particularly well for low-rank Mahalanobis metric learning
- Often more accurate with low-rank metrics than...
  - Discriminative Components Analysis (DCA, Hoi et al. 2006)
  - Information Theoretic Metric Learning (ITML, Davis et al. 2007)
  - Large-Margin Nearest Neighbor (LMNN, Weinberger et al. 2006)
  - Local Fisher Discriminant Analysis (LFDA, Sugiyama 2007)
  - Maximally-Collapsing Metric Learning (MCML, Globerson et al. 2006)
  - Neighborhood Components Analysis (NCA, Globerson et al. 2005)







kNN (k=3) accuracy averaged over training sets of {25,50,100,150,250} samples / class for rank = *K*-1 Mahalanobis matrices (red=best, blue=second best)



kNN (k=3) accuracy averaged over training sets of {25,50,100,150,250} samples / class for rank = *K*-1 Mahalanobis matrices (red=best, blue=second best)

### **Feature-weighted Metric Learning Computation Time / Fold**



#### Ocean City: Combined Scenario

### Feature-weighted Metric Learning Application: Superpixel Segmentation

[Bue and Thompson, WHISPERS 2010]

**Goal:** segment image into groups of spatially contiguous spectra (*superpixels*) representing similar materials (via the Felzenszwalb segmentation algorithm)

- Reduces processing time of subsequent analyses
- Noise reduction of order  $n^{\frac{1}{2}}$  for an *n*-pixel superpixel



#### More accurate similarity measure => more pure\* superpixels

\*pure = constituent spectra represent the same material(s)

B. Bue: Adaptive Similarity Measures

### Segmentation Results CRISM Image 863e: Euclidean vs. LDA vs. ITML



### **Inter-domain Material Identification**

Source and target spectra captured under similar (but not identical) conditions  $\Rightarrow \mathcal{D}^S \approx \mathcal{D}^T$ 

good source classifier  $\Rightarrow$  good target predictions



### **Inter-domain Material Identification**

Do not always have representative samples to classify target materials  $\Rightarrow$  can we train a classifier using samples from similar imagery?



Cuprite Mining District, Cuprite NV

## Spectra reflect their capture conditions

- atmosphere
- seasonal effects
- sensor resolution
   (spatial + spectral)
- viewing geometry

⇒ Classifier trained with Av97 spectra will not generalize to Hyp11 spectra (and vice-versa)

### **Domain Adaptation Problems Supervised vs. Unsupervised**

**Domain Adaptation:** improve source to target generalization by reconciling domain-specific differences



- I. Supervised: small amount\* of labeled target data available
- II. Unsupervised: no labeled target data available

Related topics: multitask/transfer learning, manifold alignment

\*small amount=insufficient to train a target domain classifier

B. Bue: Adaptive Similarity Measures

### **Domain Adaptation Separability and Interclass Distances**



Above: class means and first two dimensions of LDA-projected Av97, Hyp11 samples

Elasses better separated in Av97 image than in Hyp11 image

Relative distances between classes similar in each images

### Multiclass Domain Adaptation [Bue et al., WHISPERS 2010, 2011] Relational Class Knowledge Transfer (RelTrans)



### **Relational ("R-space") Transformation**

For sample  $\mathbf{x}^{D}$  and pivot set  $P^{D}$  from domain  $D \in \{S, T\}$ , map  $\mathbf{x}^{D}$  from  $\mathcal{R}^{n} \rightarrow \mathcal{R}^{Q}$  according to

$$R(\mathbf{x}^{D}, P^{D}) = \left(\frac{d(\mathbf{x}^{D}, \mathbf{p}_{1}^{D})}{\sum_{\ell=1}^{Q} d(\mathbf{x}^{D}, \mathbf{p}_{\ell}^{D})}, \dots, \frac{d(\mathbf{x}^{D}, \mathbf{p}_{Q}^{D})}{\sum_{\ell=1}^{Q} d(\mathbf{x}^{D}, \mathbf{p}_{\ell}^{D})}\right)$$

where

$$d(\mathbf{x}^{D}, \mathbf{p}^{D}) = ||\mathbf{x}^{D} - \mathbf{p}^{D}||_{2}^{*}$$
$$Q = \sum_{k=1}^{K} Q_{k}$$
$$Q_{k} = \# \text{ of pivots for class } k$$

→  $l^{\text{th}}$  entry of  $R(\mathbf{x}^{D}, \mathbf{p}^{D})$  = likelihood of distinguishing  $\mathbf{x}^{D}$ and  $\mathbf{p}_{l}^{D}$  from other pivots  $P^{D}$ 

\*  $\mathbf{x}^D = \mathbf{x}^D / ||\mathbf{x}^D||_2 \Rightarrow d(\mathbf{x}^D, \mathbf{p}^D) \Rightarrow \text{angle between } \mathbf{x}^D \text{ and } \mathbf{p}^D$ 

B. Bue: Adaptive Similarity Measures

### **Relational ("R-space") Transformation** Example (K=3, $Q_k=1$ )



B. Bue: Adaptive Similarity Measures

### Supervised Domain Adaptation MinDist vs. MinDist in the R-space



### Supervised Domain Adaptation Radiance to Reflectance Classification

#### Remotely-sensed spectra contaminated by atmospheric particles

**Atmospheric Calibration:** converts contaminated at-sensor radiance (RAD) measurements to surface reflectance (RFL)

#### **Two Approaches:**

I. Atmospheric modeling (i.e., radiative transfer)

Problem: computationally expensive

II. Linear approximation (ELM): regression using field-measured reflectance spectra

#### $RAD_{\rm b}(\lambda) \approx A_{\rm b}RFL_{\rm b}(\lambda) + B_{\rm b}$

**b**: band

 $\lambda$ : wavelength

 $\mathbf{A}_{\mathbf{b}}$ : gain at band  $\mathbf{b}$ 

 $\mathbf{B}_{\mathbf{b}}\!\!:\mathrm{offset}$  at band  $\mathbf{b}$ 

- Simple model, often yields comparable/ better results than radiative transfer methods
- Problem: field spectra may not be available



### Supervised Domain Adaptation Radiance to Reflectance Classification

**Goal:** classify reflectance spectra using radiance spectra as training data (**RAD2RFL**) and vice-versa

- $(\mathbf{RFL2RAD})$
- Evaluate R-space accuracy with different classifiers
- Compare to multi-task learning techniques

Data: Synthetic HYDICE spectra,

- 10 classes, 200 samples/class (right)
  - Tree, Silver Maple, Leaf
  - ----- Tree, Black Oak, Leaf
  - ----- Grass, Green, Healthy
  - ----- Grass, Brown and Green with Dirt
  - ----- Tennis Court, Playing Surface, Red
  - ----- Rooftop, Gravel, Gray
  - ------ Siding, Brick, Mix Brown, Fair
  - ------ Shingle, Asphalt, Mix Brown, Good
  - Wood, Stained, Red, Old, Weathered
    Asphalt, Black, New

#### Intra-domain accuracies:

▶ **RAD** = 100.0%, **RFL** = 99.4%





#### B. Bue: Adaptive Similarity Measures

### Supervised Domain Adaptation R-space Classification with Different Classifiers

		RAD2RFL		RFL2RAD		Overall	
		Mean	Std	Mean	Std	Mean	Std
Mindist	Orig	0.1123	0.0087	0.1123	0.0008	0.1123	0.0048
	RT	0.8904	0.0058	0.8634	0.0139	0.8769	0.0099
GLVQ	Orig	0.1123	0.0000	0.1123	0.0000	0.1123	0.0000
	RT	0.8798	0.0174	0.8575	0.0296	0.8687	0.0235
GRLVQ	Orig	0.1123	0.0000	0.1123	0.0000	0.1123	0.0000
	RT	0.8788	0.0161	0.8467	0.0132	0.8628	0.0146
SVM-lin	Orig	0.2024	0.0076	0.1123	0.0000	0.1574	0.0038
	RT	0.8742	0.0040	0.8806	0.0047	0.8774	0.0044
SVM-rbf	Orig	0.2063	0.0011	0.1123	0.0000	0.1409	0.0266
	RT	0.8823	0.0099	0.8894	0.0194	0.8858	0.0147

Mindist: Minimum distance to class means GLVQ: Generalized Learning Vector Quantization GRLVQ: Generalized Relevance Learning Vector Quantization SVM-lin: Support Vector Machine (linear kernel) SVM-rbf: Support Vector Machine (radial basis function kernel)

Accuracy in original feature space vs. average RelTrans accuracy for  $Q_k \in \{5, 10, 20, 50, 100\}$  pivots / class (red=best, blue=second best)

- Original feature space: all samples classified into one (0.1123) or two (0.2024) class(es)
- All classifiers above give good performance in R-space

### **Domain Adaptation Related Work: Multitask Learning**



### Supervised Domain Adaptation Radiance to Reflectance Multitask Learning Results



Average accuracy using MTL techniques vs. RelTrans with  $Q_k \in \{5,10,20,50,100\}$ labeled target samples (pivots) / class (red=best, blue=second best)

#### Binary decomposition ignores multiclass structure of the learning problem
# Domain Adaptation Issues with Multiclass to Binary Decomposition



Figures modified after: [Bishop 06]

Issue: separability of classes in source vs. target domain\*

- I. Target classes *more* separated than source classes  $\Rightarrow$  D.A. easier
- II. Target classes less separated than source classes  $\Rightarrow$  D.A. harder

#### \* Assuming source/target domains similar

#### Unsupervised Domain Adaptation [Bue and Thompson, NIPS Domain Adaptation workshop 2011] Multiclass Continuous Correspondence Learning (MCCL)

#### Assume between-class distances relatively preserved across domains



Unsupervised Pivot Selection with MCCL: For each source pivot  $\mathbf{p}_i^S$  in  $P^S$ , select target pivot  $\mathbf{p}_i^T = \mathbf{x}_\ell^T$  s.t.  $\ell = \underset{j}{\operatorname{argmin}} \|R(\mathbf{p}_i^S, P^S) - R(\mathbf{x}_j^T, P^S)\|, \ j \in \{1, \dots, N^T\}$ 

# **Unsupervised Domain Adaptation** Synthetic Example: Transformed Gaussians



B. Bue: Adaptive Similarity Measures

# Unsupervised Domain Adaptation Measuring Pivot Set Quality with H-divergence



# <sup>2.1</sup> Unsupervised Domain Adaptation ICCL Accuracy vs. Pdiv: Cuprite Av97 vs. Hyp11



Aaximum unsupervised accuracy at minimum Pdiv value (\*)

Discrepancy between Av97⇒Hyp11 and Hyp11⇒Av97 accuracies
 Can other techniques do better?

# Domain Adaptation Related Techniques: Manifold Alignment [Ham et. a

[Ham et. al, 2005, Wang et al., 2007]



# **Domain Adaptation Related Techniques: EasyAdapt**<sub>[Daumé, 2007]</sub>

Transform all (labeled and unlabeled) source and target samples using the following transformation...



Samples in **same domain** twice the weight as samples from **different domains** Designed for **binary** classification problems [Daumé, 2010] **(** 

# Unsupervised Domain Adaptation Comparisons to Related Work

**Problem:** both manifold alignment and EasyAdapt **supervised** techniques **Solution:** use MCCL-selected target pivots as "labeled" target data **Results:**  $A_{V07} \rightarrow H_{V011}$  Hyp11  $\rightarrow A_{V07}$  Overall

	AV97=	⇒Hyp11	Hyp11	$\Rightarrow AV97$	Overall	
	Mean	Std	Mean	Std	Mean	Std
Baseline	0.7429	0.0098	0.9428	0.0057	0.8429	0.0078
Procrustes	0.7685	0.0134	0.8380	0.0175	0.8033	0.0155
Feature-level	0.7021	0.0152	0.8913	0.0193	0.7967	0.0173
EasyAdapt	0.7623	0.0218	0.9683	0.0133	0.8653	0.0176
MTL-Trace	0.7449	0.0123	0.9161	0.0172	0.8305	0.0148
RelTrans	0.8277	0.0120	0.9687	0.0074	0.8982	0.0097

Average accuracy using related techniques vs. RelTrans with  $Q_k \in \{5, 10, 20, 50, 100\}$ MCCL-selected target samples (pivots) / class (red=best, blue=second best)

#### **Issues:**

Procrustes/Feature-level Manifold Alignment

 Assumes single linear transformation can reconcile source/target domains EasyAdapt / MTL

• Parameters optimized for *pairs* of classes, independent of other classes

#### R-space embedding captures multiclass structure of the learning problem

# Unsupervised Domain Adaptation SVM Model Selection and Regularization



# Unsupervised Domain Adaptation Intraclass Distance and Domain Adaptation Accuracy

#### Why >10% difference in Av97⇒Hyp11 vs. Hyp11⇒Av97 accuracy?



# **Unsupervised Domain Adaptation Picking Better Pivots with the Sobolev Metric**

**Goal:** exploit functional relationships to improve pivot selection **Approach:** use Sobolev measure in R-transform

$$R^{\kappa}(\mathbf{x}^{D}, P^{D}) = \frac{1}{\kappa + 1} \sum_{l=0}^{\kappa} \left( \frac{d^{(l)}(\mathbf{x}^{D}, \mathbf{p}_{1}^{D})}{\sum_{i=1}^{Q} d^{(l)}(\mathbf{x}^{D}, \mathbf{p}_{i}^{D})}, \dots, \frac{d^{(l)}(\mathbf{x}^{D}, \mathbf{p}_{Q}^{D})}{\sum_{i=1}^{Q} d^{(l)}(\mathbf{x}^{D}, \mathbf{p}_{i}^{D})} \right)$$

Select target pivot  $\mathbf{p}_i^T = \mathbf{x}_{\ell}^T$  whose *first \kappa derivates* are most similar to source pivot  $\mathbf{p}_i^S \in P^S$ 

$$\ell = \underset{j}{\operatorname{argmin}} \| \mathbb{R}^{\kappa}(\mathbf{p}_{i}^{S}, P^{S}) - \mathbb{R}^{\kappa}(\mathbf{x}_{j}^{T}, P^{S}) \|, \ j \in \{1, \dots, N^{T}\}$$

# **Unsupervised Domain Adaptation Functional Pivot Selection Classification Accuracy**



# Unsupervised Domain Adaptation Multiclass Manifold Alignment with MARTIAL

[Bue and Jermaine, WHISPERS 2013]

Current manifold alignment methods:

- assume labeled pivots available
- ignore class-specific distinctions

### MAnifold Reconciliation Through Iterative ALignment (MARTIAL)

- Uses both labeled and unlabeled data to align source/target manifolds
- Computes per-class transformations from source to target feature space using MCCL-selected pivots
- Extends TRIAL protein structure alignment algorithm [Venkateswaran et al.] to high-dim, multiclass manifold alignment problems



# Unsupervised Domain Adaptation Av97⇒Hyp11 classes after applying MARTIAL



# MARTIAL Accuracy vs. $Q_k$ in R-space



# Conclusions

### **Material Identification and Similarity measures**

 Adaptive similarity measures can substantially improve material identification results over conventional techniques often at reduced computational expense

### Intra-domain

- Hybrid similarity measures improve classification accuracy given several complimentary notions of similarity
- Regularized LDA produces state-of-the-art results for *lowrank* Mahalanobis metric learning, at a fraction of the computational cost

### Inter-domain

- Domain adaptation performance = limited by
  - I. similarity and separability of source and target domains
  - II. differences in intra-class variance
- Capturing *multiclass structure* with RelTrans often significantly increases domain adaptation accuracy over baseline and related techniques

# Thank you 👍

# **Summary of Contributions**

- Library-based Material Identification, CICR similarity measure
  - B. Bue, E. Merényi, and B. Csathó. Automated labeling of materials in hyperspectral imagery. IEEE Transactions on Geoscience and Remote Sensing, 48(11):4059–4070, 2010.
  - B. Bue, E. Merényi, and B. Csathó. Automated Labeling of Segmented Hyperspectral Imagery Via Spectral Matching. IEEE WHISPERS, Aug. 2009.
- Adaptive similarity measures for Intra-domain Material Identification
  - Adaptive CICR:
    - B. Bue and E. Merényi. An adaptive similarity measure for classification of hyperspectral signatures. IEEE Geoscience and Remote Sensing Letters, 2012.
  - LDA-based Mahalanobis metrics for Image Segmentation:
    B. D. Bue, DR Thompson, M. Gilmore, and R. Castaño, "Metric Learning for Hyperspectral Image Segmentation," IEEE WHISPERS, Jun. 2011.

# **Summary of Contributions**

- Domain Adaptation for Inter-domain Material Identification
  - Supervised:
    - B. D. Bue, E. Merényi, and B. Csathó, "An Evaluation of Class Knowledge Transfer from Real to Synthetic Imagery," IEEE WHISPERS, Jun. 2011.
    - B. D. Bue and E. Merényi, "Using spatial correspondences for hyperspectral knowledge transfer: evaluation on synthetic data," IEEE WHISPERS, Jun. 2010.
  - Unsupervised:
    - B. D. Bue and D. R. Thompson, "Multiclass Continuous Correspondence Learning," NIPS Domain Adaptation Workshop, Dec. 2011.
    - B. D. Bue and C. Jermaine. "Multiclass Domain Adaptation with Iterative Manifold Alignment". Workshop on Hyperspectral Image and Signal Processing: Evolution in Remote Sensing (WHISPERS), Jun. 2013 (to appear).

# References

- [Merényi et al., 2007] E. Merényi and W. Farrand, "Classification of hyperspectral imagery with neural networks: Comparison to conventional tools," Photogrammetric Engineering Remote Sensing 2007.
- [Pouch et al., 1990] GW Pouch and DJ Campagna, "Hyperspherical direction cosine transformation for separation of spectral and illumination information in digital scanner data," Photogrammetric Engineering and Remote Sensing, vol. 56, no. 4, pp. 475–479, 1990.
- [Schott et al., 1999] JR Schott, SD Brown, RV Raqueno, HN Gross, and G Robinson, "Advanced synthetic image generation models and their application to multi/hyperspectral algorithm development," Proceedings of SPIE, vol. 3584, pp. 211, 1999
- [Csathó et al., 1998] B Csathó, WB Krabill, J Lucas, and T Schenk, "A multisensor data set of an urban and coastal scene," International Archives of Photogrammetry and Remote Sensing, vol. XXXII (3/2), pp. 26–31, Jan 1998.
- [Merényi et al., 2007] E. Merényi, B. Csatho, and K. Tasdemir, "Knowledge discovery in urban environments from fused multi-dimensional imagery", In Proc. 4th IEEE GRSS/ISPRS Joint Workshop on Remote Sensing and Data Fusion over Urban Areas (URBAN 2007).
- [Clark et al., 2003] R. Clark, G. Swayze, K. Livo, R. Kokaly, S. Sutley, J. Dalton, R. McDougal, and C. Gent, "Imaging spectroscopy: Earth and planetary remote sensing with the USGS Tetracorder and expert systems," Journal of Geophysical Research-Planets, vol. 108, no. 12, p. 5131, 2003.
- [Zhou et al. 2012] J. Zhou, J. Chen, J. Ye, "Multi-Task Learning: Theory, Algorithms, and Applications," The Twelfth SIAM Information Conference on Data Mining, April 27, 2012

# References

- [Clark et al., 1990] R. N. Clark, T. V. V. King, M. Klejwa, G. A. Swayze, and N. Vergo, "High spectral resolution reflectance spectroscopy of minerals," J. Geophys. Res, vol. 95, no. 8, pp. 12653–12,680, 1990.
- [Rajan, 2006] S. Rajan, Knowledge Transfer Techniques for Dynamic Environments, Doctoral Dissertation -University of Texas at Austin, 2006.
- [Mendenhall et al., 2008] M. Mendenhall and E. Merényi, "Relevance-Based Feature Extraction for Hyperspectral Images," Neural Networks, IEEE Transactions on, vol. 19, no. 4, pp. 658–672, 2008.
- [Weinberger et al., 2009] K. Q. Weinberger and L. Saul, "Distance metric learning for large margin nearest neighbor classification," Journal of Machine Learning Research, vol. 10, pp. 207–244, 2009.
- [Goldberger et al., 2005] J. Goldberger, S. Roweis, G. Hinton, and R. Salakhutdinov, "Neighbourhood components analysis," Advances in Neural Information Processing Systems, vol. 17, pp. 513–520, 2005.
- [Herold et al, 2004] M. Herold, D. Roberts, M. Gardner, and P. Dennison, "Spectrometry for urban area remote sensing—development and analysis of a spectral library from 350 to 2400 nm," Rem. Sens. of Environ., vol. 91, pp. 304–319, 2004.

### Feature-based vs. Similarity-based Classification



#### 

B. Bue: Adaptive Similarity Measures

# **Evaluation on Ocean City AVIRIS Image CICR vs. Feature Selection/Dimensionality Reduction**

### **MinDist Accuracy**

	Baseline		Feature Selection/Dimensionality Reduction						$\mathbf{a} = \mathbf{d}_{\mathbf{CICR}}$	
	$d_{CI}$	$d_{\mathrm{CR}}$	$\chi^{2}_{25}$	$\chi^{2}_{50}$	$\mathbf{RFE}$	$\mathrm{L}^1$	PCA	$\mathrm{LDA}_{\mathrm{FW}}$	LDA	$\mathbf{LS}$
Minor	0.8866	0.6580	0.8376	0.8875	0.8848	0.8872	0.8819	0.9172	0.9032	0.9055
	0.0076	0.0208	0.0090	0.0083	0.0114	0.0163	0.0099	0.0095	0.0049	0.0065
Major	0.9250	0.7750	0.8493	0.8917	0.9330	0.8638	0.9203	0.9714	0.9721	0.9754
	0.0186	0.0101	0.0093	0.0143	0.0159	0.0573	0.0127	0.0062	0.0075	0.0029
Combined	0.8654	0.6730	0.8302	0.8441	0.8617	0.8310	0.8672	0.9176	0.9076	0.9207
	0.0069	0.0075	0.0064	0.0060	0.0056	0.0125	0.0063	0.0049	0.0111	0.0045

$\chi^2_p$	<i>p</i> -best features,	according to $\boldsymbol{\chi}^2$ criterion
P	1 '	0

- **RFE** Recursive Feature Elimination [Guyon et al., 2002]
- $L^1$  Features weighted according to  $L^1$ -penalized GLM
- **PCA** PCA components explaining 99% of variance
- **LDA**<sub>FW</sub> Feature-weighted LDA,  $T(\mathbf{x}): \mathcal{R}^n \to \mathcal{R}^{K-1}$

# Adaptive Sobolev Metric: Ocean City Classification Results

### Per-derivate Accuracy:

	$\mathbf{d}^{(0)}$	$\mathbf{d}^{(1)}$	$\mathbf{d}^{(2)}$	$\mathbf{d}^{(3)}$
Minor	0.8863	0.7717	0.6362	0.6364
	0.0134	0.0128	0.0067	0.0104
Major	0.9256	0.9299	0.8857	0.8759
	0.0108	0.0093	0.0104	0.0110
Combined	0.8698	0.8276	0.7219	0.7082
	0.0056	0.0137	0.0077	0.0090

 $\mathsf{Baseline} = \mathbf{d}^{(0)}$ 

(Euclidean distance)

Sobolev Accuracy (UW=equal  $\alpha_l$  weights, LS=line search):

	$\mathbf{d}_{\mathbf{Sobolev}}, \ \kappa = 1$			d <sub>Sol</sub>	$\mathbf{polev}, \ \kappa$	=2	d <sub>Sobolev</sub> , $\kappa = 3$		
	$\mathbf{U}\mathbf{W}$	LDA	$\mathbf{LS}$	$\mathbf{U}\mathbf{W}$	LDA	$\mathbf{LS}$	UW	LDA	$\mathbf{LS}$
Minor	0.9047	0.9108	0.9210	0.8808	0.9090	0.9254	0.8627	0.9052	0.9268
	0.0134	0.0052	0.0043	0.0090	0.0077	0.0025	0.0084	0.0121	0.0066
Major	0.9616	0.9659	0.9707	0.9630	0.9703	0.9830	0.9543	0.9688	0.9804
	0.0087	0.0120	0.0063	0.0088	0.0178	0.0022	0.0085	0.0049	0.0031
Combined	0.9123	0.9121	0.9257	0.8976	0.9011	0.9300	0.8925	0.8967	0.9321
	0.0071	0.0070	0.0021	0.0079	0.0081	0.0045	0.0087	0.0075	0.0052

- LDA better than UW, but usually only by  $\leq 1\%$
- UW, LDA accuracy decreases as  $\kappa$  increases

## Feature-weighted Metric Learning Graph-based Segmentation Algorithm [Felzenszwalb 2004]

**Image** = 8-connected graph weighted by  $d(\mathbf{x}_i, \mathbf{x}_j)$  between adjacent pixels  $\mathbf{x}_i$  and  $\mathbf{x}_j$ Segments connected by growing minimum spanning tree with agglomerative clustering



### Feature-weighted Metric Learning Diagonals of Learned Mahalanobis Matrices



B. Bue: Adaptive Similarity Measures

# Segmentation Results: CRISM Image 863e Euclidean vs. LDA and ITML Metrics



### Average Pure Superpixels

Class ( $\#$ pixels)	EUC	LDA	ITML
FeMg Smectite (6443)	26	49	48
Kaolinite (4051)	98	99	99
Montmorillonite (10901)	11	31	17
Nontronite (4753)	37	52	40
Neutral Region (115225)	97	99	98
Average	53	66	60

B. Bue: Adaptive Similarity Measures

# Supervised Domain Adaptation Outlier Detection Classes

Synthetic hyperspectral (HYDICE, 210 bands) => Synthetic multispectral (MASTER, 12 bands)



# Synthetic hyperspectral (HYDICE) => Real hyperspectral (AVIRIS)



# **Supervised Domain Adaptation Radiance to Reflectance EML Approximation**



**EML** linear approximation closely matches field-measured spectrum

### Unsupervised Domain Adaptation <sup>0.12</sup> 2.1029 2.1685 2.2341 Cuprite Av97 vs. Hyp11 Results

0.14



# Unsupervised Domain Adaptation Av97, Hyp11 Per-derivate Accuracy



# Kabsch Algorithm (TRIAL transformations)

• Given Q paired samples  $(P^S, P^T)$ , the rotation matrix **T** which minimizes

$$\varepsilon = \text{RMSD}(\mathbf{T} \cdot P^S, P^T)$$

is computed as follows:

$$\mathbf{T} = \mathbf{W} \operatorname{diag}(\mathbf{s}) \mathbf{V}^T$$

where

$$\mathbf{VDW}^{T} = \mathrm{SVD}(\mathrm{COV}(P^{S}, P^{T}))$$
$$\mathbf{s} = [1, \dots, 1, \mathrm{sign}(\det(\mathbf{WV}^{T}))]$$

# MARTIAL Accuracy vs. $Q_k$



# **RelTrans vs. Baseline Techniques**

[]		S	$\mathbf{T}$		$\mathbf{ST}$					
/p]	Base	0.9963	0.9679		0.7429					
H		0.0027	0.0098		0.0098					
<b>↑</b>   <b>↓</b>	$\mathbf{Q}_{\mathbf{k}}$	R-S	$R-T^*$	R-T	R-ST*	R-ST	PivST*	PivST	AugST*	AugST
v9,	Mean	0.9918	0.9189	0.9211	0.8258	0.8498	0.7478	0.8717	0.7469	0.8638
Y	Std	0.0042	0.0119	0.0118	0.0111	0.0133	0.0182	0.0120	0.0131	0.0155
7		S	Т		$\mathbf{ST}$					
<u>V</u>	Base	0.9679	0.9963		0.9428					
1		0.0098	0.0027		0.0057					
11	$\mathbf{Q}_{\mathbf{k}}$	R-S	<b>R-T*</b>	R-T	R-ST*	R-ST	PivST*	PivST	AugST*	AugST
<b>yp</b>	Mean	0.9202	0.9925	0.9920	0.9668	0.9908	0.9665	0.9818	0.9611	0.9653
H	Std	0.0130	0.0043	0.0037	0.0073	0.0037	0.0121	0.0052	0.0091	0.0065

PivST: Classifier trained with pivots alone (PivST\*=unsupervised)

AugST: Classifier trained with source data + pivots (AugST\*=unsupervised)

RelTrans: Best performance in unsupervised case Some misalignment in supervised case (between src/tgt means)